Advanced Machine Learning
Lecture 13

Convolutional Neural Networks

15.12.2016

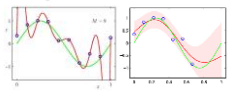
Bastian Leibe
RWTH Aachen
http://www.vision.rwth-aachen.de/

leibe@vision.rwth-aachen.de

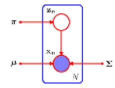
This Lecture: *Advanced Machine Learning*

$f : \mathcal{X} \rightarrow \mathbb{R}$

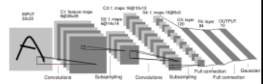
- Regression Approaches
  - Linear Regression
  - Regularization (Ridge, Lasso)
  - Kernels (Kernel Ridge Regression)
  - Gaussian Processes



- Approximate Inference
  - Sampling Approaches
  - MCMC



- Deep Learning
  - Linear Discriminants
  - Neural Networks
  - Backpropagation & Optimization
  - CNNs, RNNs, ResNets, etc.



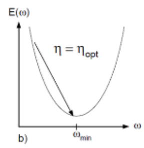
Topics of This Lecture

- Tricks of the Trade
  - Recap
- Convolutional Neural Networks
  - Neural Networks for Computer Vision
  - Convolutional Layers
  - Pooling Layers
- CNN Architectures
  - LeNet
  - AlexNet
  - VGGNet
  - GoogLeNet

Recap: Choosing the Right Learning Rate

- Convergence of Gradient Descent
  - Simple 1D example

$$W^{(\tau-1)} = W^{(\tau)} - \eta \frac{\mathrm{d}E(W)}{\mathrm{d}W}$$



  - What is the optimal learning rate η_{opt}?
  - If E is quadratic, the optimal learning rate is given by the inverse of the Hessian

$$\eta_{\mathrm{opt}} = \left(\frac{\mathrm{d}^2 E(W^{(\tau)})}{\mathrm{d}W^2} \right)^{-1}$$

  - Advanced optimization techniques try to approximate the Hessian by a simplified form.
  - *If we exceed the optimal learning rate, bad things happen!*

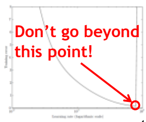
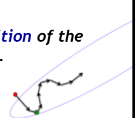


Image source: Yann LeCun et al., Efficient BackProp (1998)

Recap: Advanced Optimization Techniques

- Momentum
  - *Instead of using the gradient to change the position of the weight "particle", use it to change the velocity.*
  - Effect: dampen oscillations in directions of high curvature



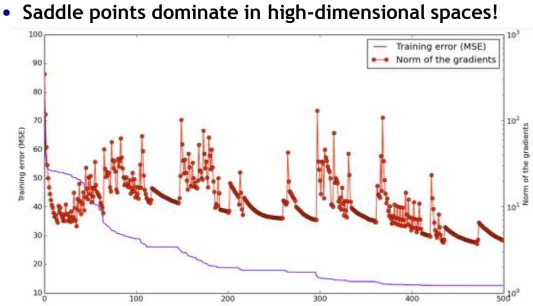
  - Nesterov-Momentum: Small variation in the implementation
- RMS-Prop
  - *Separate learning rate for each weight: Divide the gradient by a running average of its recent magnitude.*
- AdaGrad
- AdaDelta
- Adam

Some more recent techniques, work better for some problems. Try them.

Image source: Geoff Hinton

Trick: Patience

- Saddle points dominate in high-dimensional spaces!

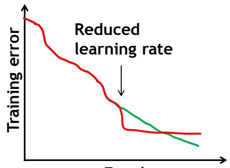


⇒ Learning often doesn't get stuck, you just may have to wait...

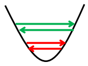
Image source: Yoshua Bengio

Recap: Reducing the Learning Rate

- Final improvement step after convergence is reached
  - Reduce learning rate by a factor of 10.
  - Continue training for a few epochs.
  - Do this 1-3 times, then stop training.



- Effect
  - Turning down the learning rate will reduce the random fluctuations in the error due to different gradients on different minibatches.



- *Be careful: Do not turn down the learning rate too soon!*
  - Further progress will be much slower after that.

Slide adapted from Geoff Hinton

B. Leibe 7

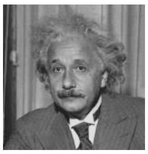
Topics of This Lecture

- Tricks of the Trade
  - Recap
- Convolutional Neural Networks
  - Neural Networks for Computer Vision
  - Convolutional Layers
  - Pooling Layers
- CNN Architectures
  - LeNet
  - AlexNet
  - VGGNet
  - GoogLeNet

B. Leibe 8

Neural Networks for Computer Vision

- How should we approach vision problems?



→ Face Y/N?

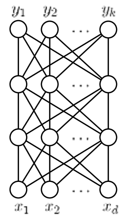
- Architectural considerations
  - Input is 2D ⇒ 2D layers of units
  - No pre-segmentation ⇒ Need robustness to misalignments
  - Vision is hierarchical ⇒ Hierarchical multi-layered structure
  - Vision is difficult ⇒ Network should be deep

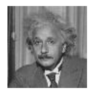
B. Leibe 9

Why Hierarchical Multi-Layered Models?

- Motivation 1: Visual scenes are hierarchically organized

Object	Face
↑	↑
Object parts	Eyes, nose, ...
↑	↑
Primitive features	Oriented edges
↑	↑
Input image	Face image





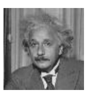
Slide adapted from Richard Turner

B. Leibe 10

Why Hierarchical Multi-Layered Models?

- Motivation 2: *Biological vision* is hierarchical, too

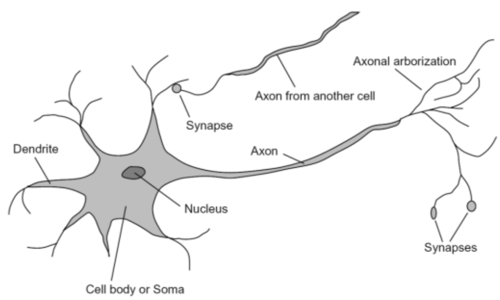
Object	Face	Inferotemporal cortex
↑	↑	
Object parts	Eyes, nose, ...	V4: different textures
↑	↑	
Primitive features	Oriented edges	V1: simple and complex cells
↑	↑	
Input image	Face image	Photoreceptors, retina



Slide adapted from Richard Turner

B. Leibe 11

Inspiration: Neuron Cells

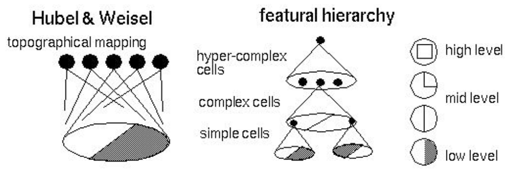


Slide credit: Svetlana Lazebnik, Rob Fergus

B. Leibe 12

Hubel/Wiesel Architecture

- D. Hubel, T. Wiesel (1959, 1962, Nobel Prize 1981)
  - Visual cortex consists of a hierarchy of *simple*, *complex*, and *hyper-complex* cells



Advanced Machine Learning Winter'16

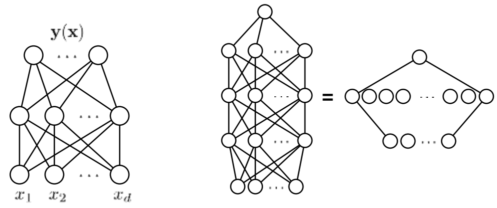
Slide credit: Svetlana Lazebnik, Rob Fergus

B. Leibe

13

Why Hierarchical Multi-Layered Models?

- Motivation 3: Shallow architectures are inefficient at representing complex functions



An MLP with 1 hidden layer can implement *any* function (universal approximator)

However, if the function is deep, a very large hidden layer may be required.

Advanced Machine Learning Winter'16

Slide adapted from Richard Turner

B. Leibe

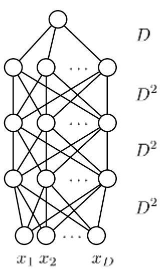
14

What's Wrong With Standard Neural Networks?

- Complexity analysis
  - How many parameters does this network have?

    $|\theta| = 3D^2 + D$
  - For a small 32×32 image

    $|\theta| = 3 \cdot 32^4 + 32^2 \approx 3 \cdot 10^6$
- Consequences
  - Hard to train
  - Need to initialize carefully
  - *Convolutional nets reduce the number of parameters!*



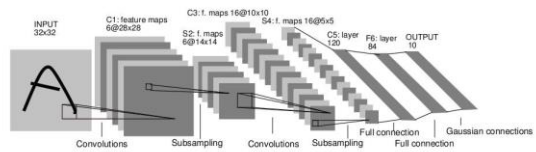
Advanced Machine Learning Winter'16

Slide adapted from Richard Turner

B. Leibe

15

Convolutional Neural Networks (CNN, ConvNet)



- Neural network with specialized connectivity structure
  - Stack multiple stages of feature extractors
  - Higher stages compute more global, more invariant features
  - Classification layer at the end

Y. LeCun, L. Bottou, Y. Bengio, and P. Haffner, [Gradient-based learning applied to document recognition](), Proceedings of the IEEE 86(11): 2278–2324, 1998.

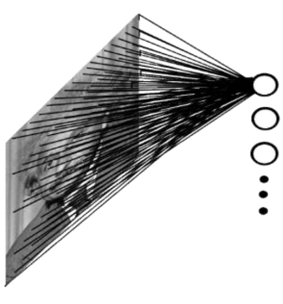
Advanced Machine Learning Winter'16

Slide credit: Svetlana Lazebnik

B. Leibe

16

Convolutional Networks: Intuition



- Fully connected network
  - E.g. 1000×1000 image
    1M hidden units

  ⇒ 1T parameters!
- Ideas to improve this
  - Spatial correlation is local

Advanced Machine Learning Winter'16

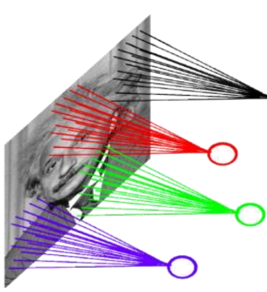
Slide adapted from Marc'Aurelio Ranzato

B. Leibe

17

Image source: Yann LeCun

Convolutional Networks: Intuition



- Locally connected net
  - E.g. 1000×1000 image
    1M hidden units
    10×10 receptive fields

  ⇒ 100M parameters!
- Ideas to improve this
  - Spatial correlation is local
  - Want translation invariance

Advanced Machine Learning Winter'16

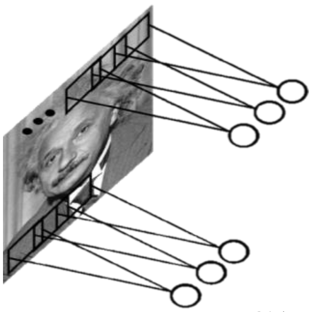
Slide adapted from Marc'Aurelio Ranzato

B. Leibe

18

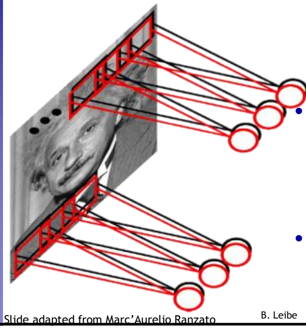
Image source: Yann LeCun

Convolutional Networks: Intuition



- **Convolutional net**
  - Share the same parameters across different locations
  - Convolutions with learned kernels

Convolutional Networks: Intuition

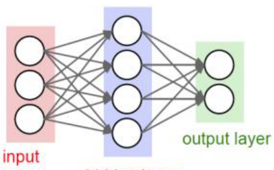


- **Convolutional net**
  - Share the same parameters across different locations
  - Convolutions with learned kernels
- Learn *multiple* filters
  - E.g. 1000×1000 image
    100 filters
    10×10 filter size

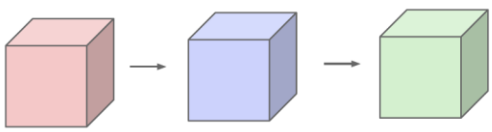
  $\Rightarrow$ 10k parameters
- Result: Response map
  - size: $1000\times1000\times100$
  - Only memory, not params!

Important Conceptual Shift

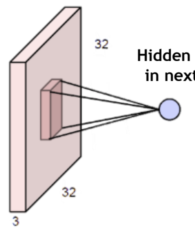
- Before



- Now:



Convolution Layers



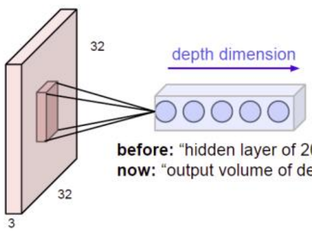
Example
image: $32\times32\times3$ volume

Before: Full connectivity
$32\times32\times3$ weights

Now: Local connectivity
One neuron connects to, e.g., $5\times5\times3$ region.
$\Rightarrow$ Only $5\times5\times3$ shared weights.

- Note: Connectivity is
  - Local in space (5×5 inside 32×32)
  - But full in depth (all 3 depth channels)

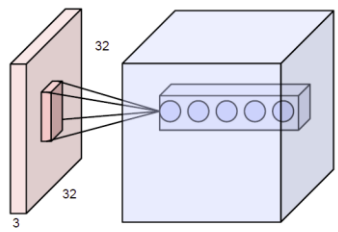
Convolution Layers



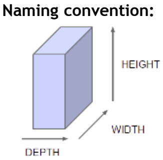
before: "hidden layer of 200 neurons"
now: "output volume of depth 200"

- All Neural Net activations arranged in 3 dimensions
  - Multiple neurons all looking at the same input region, stacked in depth

Convolution Layers

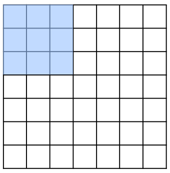


Naming convention:



- All Neural Net activations arranged in 3 dimensions
  - Multiple neurons all looking at the same input region, stacked in depth
  - Form a single [$1\times1\times$depth] depth column in output volume.

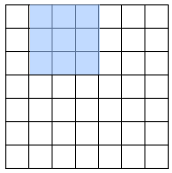
Convolution Layers



Example:
7×7 input
assume 3×3 connectivity
stride 1

- Replicate this column of hidden neurons across space, with some stride.

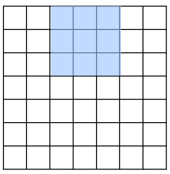
Convolution Layers



Example:
7×7 input
assume 3×3 connectivity
stride 1

- Replicate this column of hidden neurons across space, with some stride.

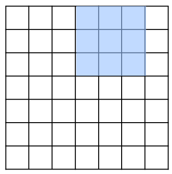
Convolution Layers



Example:
7×7 input
assume 3×3 connectivity
stride 1

- Replicate this column of hidden neurons across space, with some stride.

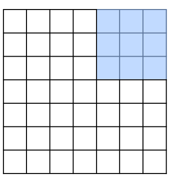
Convolution Layers



Example:
7×7 input
assume 3×3 connectivity
stride 1

- Replicate this column of hidden neurons across space, with some stride.

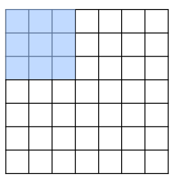
Convolution Layers



Example:
7×7 input
assume 3×3 connectivity
stride 1
⇒ 5×5 output

- Replicate this column of hidden neurons across space, with some stride.

Convolution Layers

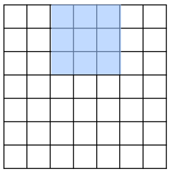


Example:
7×7 input
assume 3×3 connectivity
stride 1
⇒ 5×5 output

What about stride 2?

- Replicate this column of hidden neurons across space, with some stride.

Convolution Layers

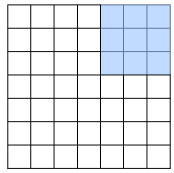


Example:
7×7 input
assume 3×3 connectivity
stride 1
⇒ 5×5 output

What about stride 2?

- Replicate this column of hidden neurons across space, with some **stride**.

Convolution Layers

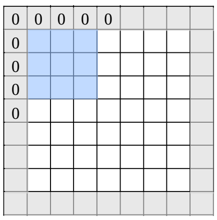


Example:
7×7 input
assume 3×3 connectivity
stride 1
⇒ 5×5 output

What about stride 2?
⇒ 3×3 output

- Replicate this column of hidden neurons across space, with some **stride**.

Convolution Layers

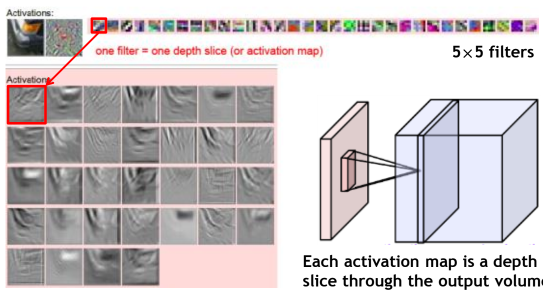


Example:
7×7 input
assume 3×3 connectivity
stride 1
⇒ 5×5 output

What about stride 2?
⇒ 3×3 output

- Replicate this column of hidden neurons across space, with some **stride**.
- In practice, common to zero-pad the border.
  - Preserves the size of the input spatially.

Activation Maps of Convolutional Filters

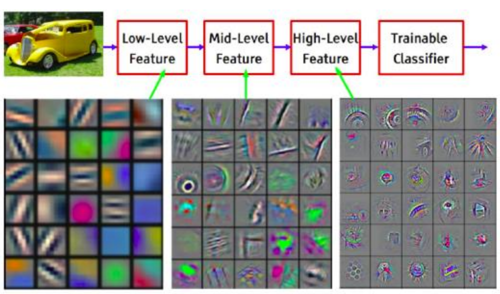


5×5 filters

Activation maps

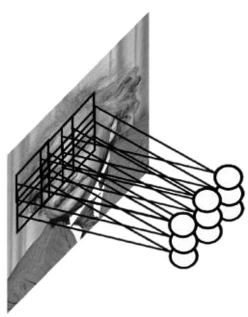
Each activation map is a depth slice through the output volume.

Effect of Multiple Convolution Layers



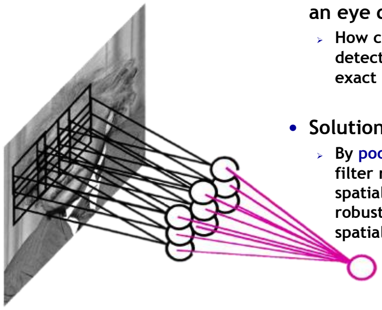
Feature visualization of convolutional net trained on ImageNet from [Zeiler & Fergus 2013]

Convolutional Networks: Intuition



- Let's assume the filter is an eye detector
  - How can we make the detection robust to the exact location of the eye?

Convolutional Networks: Intuition



- Let's assume the filter is an eye detector
  - How can we make the detection robust to the exact location of the eye?
- Solution:
  - By pooling (e.g., max or avg) filter responses at different spatial locations, we gain robustness to the exact spatial location of features.

Max Pooling

Single depth slice

x

1	1	2	4
5	6	7	8
3	2	1	0
1	2	3	4

y

max pool with 2x2 filters and stride 2

6	8
3	4

- Effect:
  - Make the representation smaller without losing too much information
  - Achieve robustness to translations

Max Pooling

Single depth slice

x

1	1	2	4
5	6	7	8
3	2	1	0
1	2	3	4

y

max pool with 2x2 filters and stride 2

6	8
3	4

- Note
  - Pooling happens independently across each slice, preserving the number of slices.

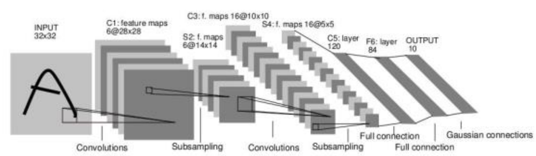
CNNs: Implication for Back-Propagation

- Convolutional layers
  - Filter weights are shared between locations
  - ⇒ Gradients are added for each filter location.

Topics of This Lecture

- Tricks of the Trade
  - Recap
- Convolutional Neural Networks
  - Neural Networks for Computer Vision
  - Convolutional Layers
  - Pooling Layers
- **CNN Architectures**
  - LeNet
  - AlexNet
  - VGGNet
  - GoogLeNet

CNN Architectures: LeNet (1998)



- Early convolutional architecture
  - 2 Convolutional layers, 2 pooling layers
  - Fully-connected NN layers for classification
  - Successfully used for handwritten digit recognition (MNIST)

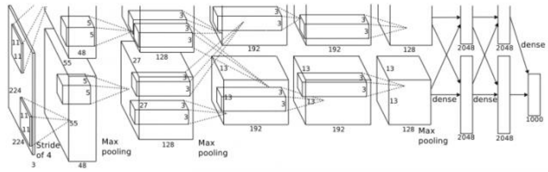
Y. LeCun, L. Bottou, Y. Bengio, and P. Haffner, Gradient-based learning applied to document recognition, Proceedings of the IEEE 86(11): 2278-2324, 1998.

ImageNet Challenge 2012

- ImageNet
  - ~14M labeled internet images
  - 20k classes
  - Human labels via Amazon Mechanical Turk
- Challenge (ILSVRC)
  - 1.2 million training images
  - 1000 classes
  - Goal: Predict ground-truth class within top-5 responses
  - Currently one of the top benchmarks in Computer Vision

[Deng et al., CVPR'09]

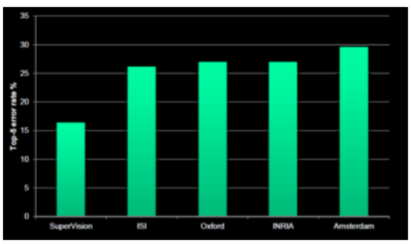
CNN Architectures: AlexNet (2012)



- **Similar framework as LeNet, but**
  - Bigger model (7 hidden layers, 650k units, 60M parameters)
  - More data (10^6 images instead of 10^3)
  - GPU implementation
  - Better regularization and up-to-date tricks for training (Dropout)

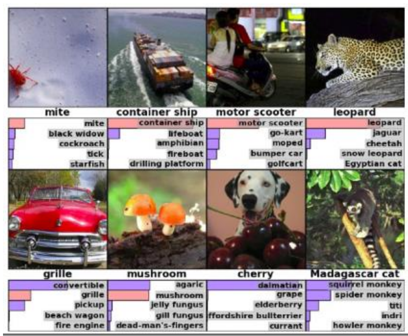
A. Krizhevsky, I. Sutskever, and G. Hinton, ImageNet Classification with Deep Convolutional Neural Networks, NIPS 2012.

ILSVRC 2012 Results

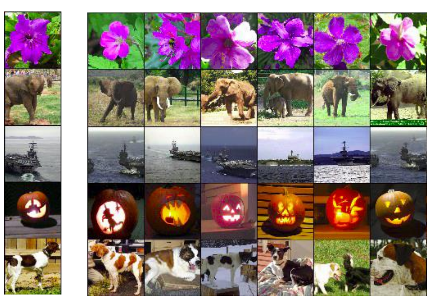


- AlexNet almost halved the error rate
  - 16.4% error (top-5) vs. 26.2% for the next best approach
  - ⇒ A revolution in Computer Vision
  - Acquired by Google in Jan '13, deployed in Google+ in May '13

AlexNet Results

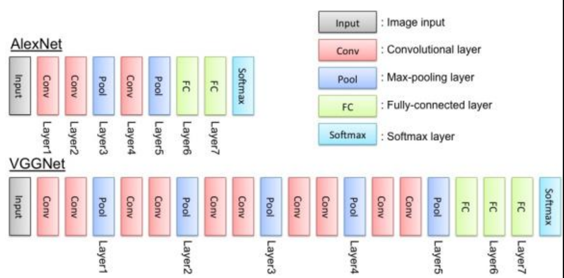


AlexNet Results



Test image Retrieved images

CNN Architectures: VGGNet (2014/15)



K. Simonyan, A. Zisserman, Very Deep Convolutional Networks for Large-Scale Image Recognition, ICLR 2015

CNN Architectures: VGGNet (2014/15)

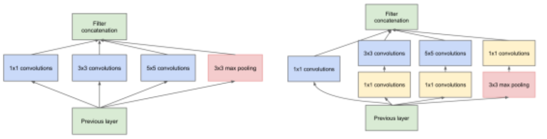
- Main ideas
  - Deeper network
  - Stacked convolutional layers with smaller filters (+ nonlinearity)
  - Detailed evaluation of all components
- Results
  - Improved ILSVRC top-5 error rate to 6.7%.

ConvNet Configuration					
A	A-LRN	B	C	D	E
11 weight layers	11 weight layers	13 weight layers	16 weight layers	16 weight layers	19 weight layers
input (224 × 224 RGB image)					
conv3-64	conv3-64 **LRN**	conv3-64 **conv3-64**	conv3-64 conv3-64	conv3-64 conv3-64	conv3-64 conv3-64
maxpool					
conv3-128	conv3-128	conv3-128 **conv3-128**	conv3-128 conv3-128	conv3-128 conv3-128	conv3-128 conv3-128
maxpool					
conv3-256 conv3-256	conv3-256 conv3-256	conv3-256 conv3-256	conv3-256 conv3-256 **conv1-256**	conv3-256 conv3-256 **conv3-256**	conv3-256 conv3-256 conv3-256 **conv3-256**
maxpool					
conv3-512 conv3-512	conv3-512 conv3-512	conv3-512 conv3-512	conv3-512 conv3-512 **conv1-512**	conv3-512 conv3-512 **conv3-512**	conv3-512 conv3-512 conv3-512 **conv3-512**
maxpool					
conv3-512 conv3-512	conv3-512 conv3-512	conv3-512 conv3-512	conv3-512 conv3-512 **conv1-512**	conv3-512 conv3-512 **conv3-512**	conv3-512 conv3-512 conv3-512 **conv3-512**
maxpool					
FC-4096					
FC-4096					
FC-1000					
soft-max					

Mainly used

Image source: Simonyan & Zisserman

CNN Architectures: GoogLeNet (2014)

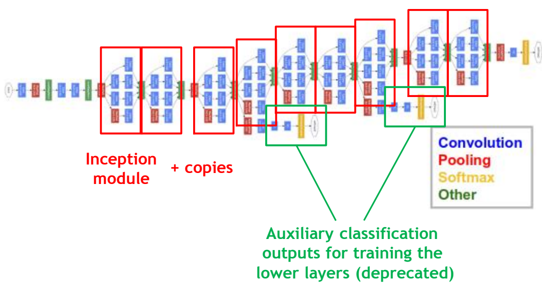


(a) Inception module, naïve version (b) Inception module with dimension reductions

- Main ideas
  - "Inception" module as modular component
  - Learns filters at several scales within each module

C. Szegedy, W. Liu, Y. Jia, et al, Going Deeper with Convolutions, arXiv:1409.4842, 2014.

GoogLeNet Visualization



Results on ILSVRC

Method	top-1 val. error (%)	top-5 val. error (%)	top-5 test error (%)
VGG (2 nets, multi-crop & dense eval.)	**23.7**	**6.8**	**6.8**
VGG (1 net, multi-crop & dense eval.)	24.4	7.1	7.0
VGG (ILSVRC submission, 7 nets, dense eval.)	24.7	7.5	7.3
GoogLeNet (Szegedy et al., 2014) (1 net)	-	7.9	
GoogLeNet (Szegedy et al., 2014) (7 nets)	-	**6.7**	
MSRA (He et al., 2014) (11 nets)	-	-	8.1
MSRA (He et al., 2014) (1 net)	27.9	9.1	9.1
Clarifai (Russakovsky et al., 2014) (multiple nets)	-	-	11.7
Clarifai (Russakovsky et al., 2014) (1 net)	-	-	12.5
Zeiler & Fergus (Zeiler & Fergus, 2013) (6 nets)	36.0	14.7	14.8
Zeiler & Fergus (Zeiler & Fergus, 2013) (1 net)	37.5	16.0	16.1
OverFeat (Sermanet et al., 2014) (7 nets)	34.0	13.2	13.6
OverFeat (Sermanet et al., 2014) (1 net)	35.7	14.2	-
Krizhevsky et al. (Krizhevsky et al., 2012) (5 nets)	38.1	16.4	16.4
Krizhevsky et al. (Krizhevsky et al., 2012) (1 net)	40.7	18.2	-

Image source: Simonyan & Zisserman

References and Further Reading

- LeNet
  - Y. LeCun, L. Bottou, Y. Bengio, and P. Haffner, Gradient-based learning applied to document recognition, Proceedings of the IEEE 86(11): 2278–2324, 1998.
- AlexNet
  - A. Krizhevsky, I. Sutskever, and G. Hinton, ImageNet Classification with Deep Convolutional Neural Networks, NIPS 2012.
- VGGNet
  - K. Simonyan, A. Zisserman, Very Deep Convolutional Networks for Large-Scale Image Recognition, ICLR 2015
- GoogLeNet
  - C. Szegedy, W. Liu, Y. Jia, et al, Going Deeper with Convolutions, arXiv:1409.4842, 2014.

References

- ReLu
  - X. Glorot, A. Bordes, Y. Bengio, Deep sparse rectifier neural networks, AISTATS 2011.
- Batch Normalization
  - S. Ioffe, C. Szegedy, Batch Normalization: Accelerating Deep Network Training by Reducing Internal Covariate Shift, ArXiV 1502.03167, 2015.