

### **Machine Learning – Lecture 16**

### **Word Embeddings**

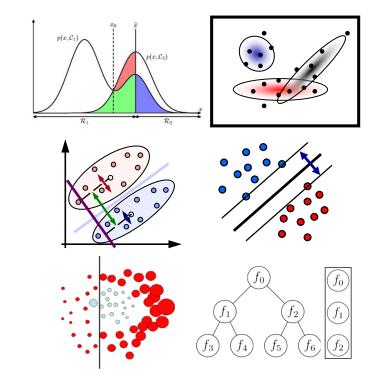
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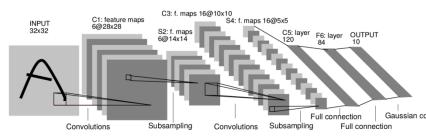
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### **Course Outline**

- Fundamentals
  - Bayes Decision Theory
  - Probability Density Estimation
- Classification Approaches
  - Linear Discriminants
  - Support Vector Machines
  - Ensemble Methods & Boosting
  - Random Forests
- Deep Learning
  - Foundations
  - Convolutional Neural Networks
  - > Recurrent Neural Networks



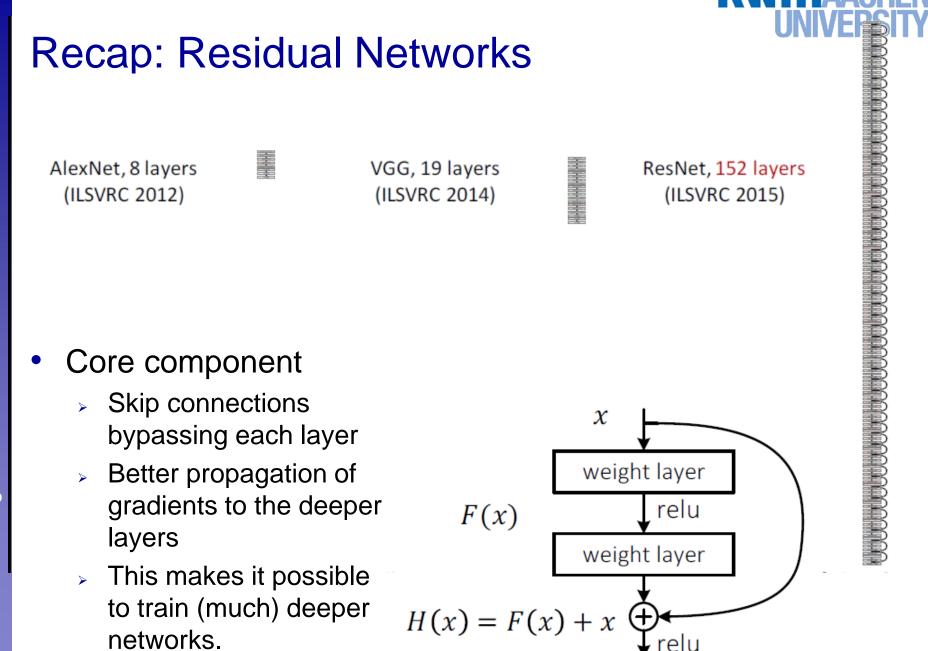




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### **Topics of This Lecture**

- Recap
  - > ResNets
  - Applications of CNNs
- Word Embeddings
  - Neuroprobabilistic Language Models
  - word2vec
  - GloVe
  - Hierarchical Softmax
- Outlook: Recurrent Neural Networks

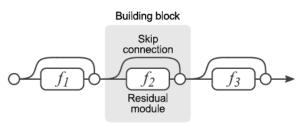


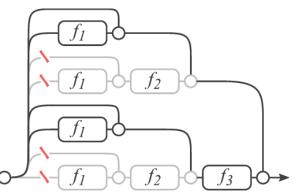
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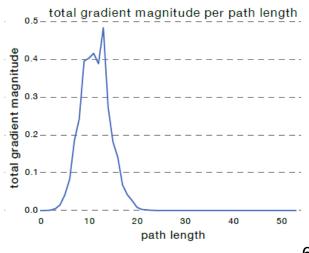


### Recap: Analysis of ResNets

- The effective paths in ResNets are relatively shallow
  - Effectively only 5-17 active modules
- This explains the resilience to deletion
  - Deleting any single layer only affects a subset of paths (and the shorter ones less than the longer ones).
- New interpretation of ResNets
  - ResNets work by creating an ensemble of relatively shallow paths
  - Making ResNets deeper increases the size of this ensemble
  - Excluding longer paths from training does not negatively affect the results.

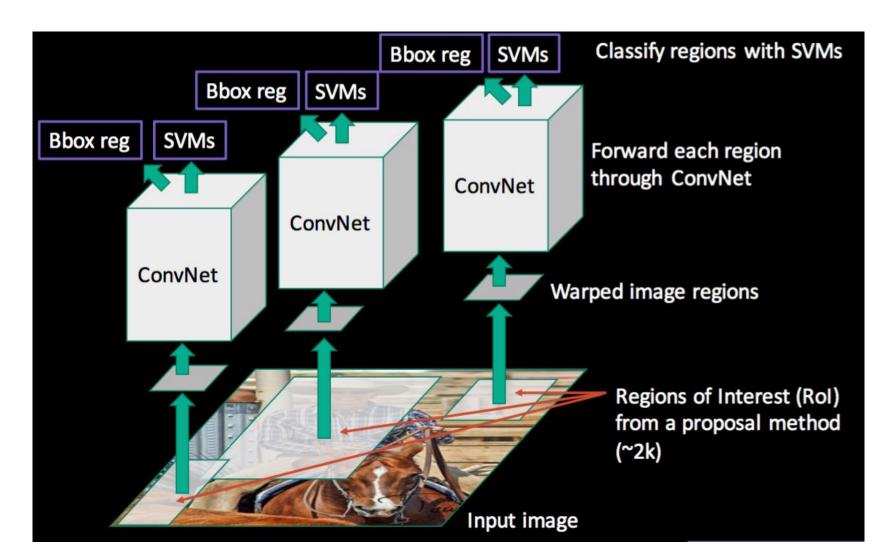






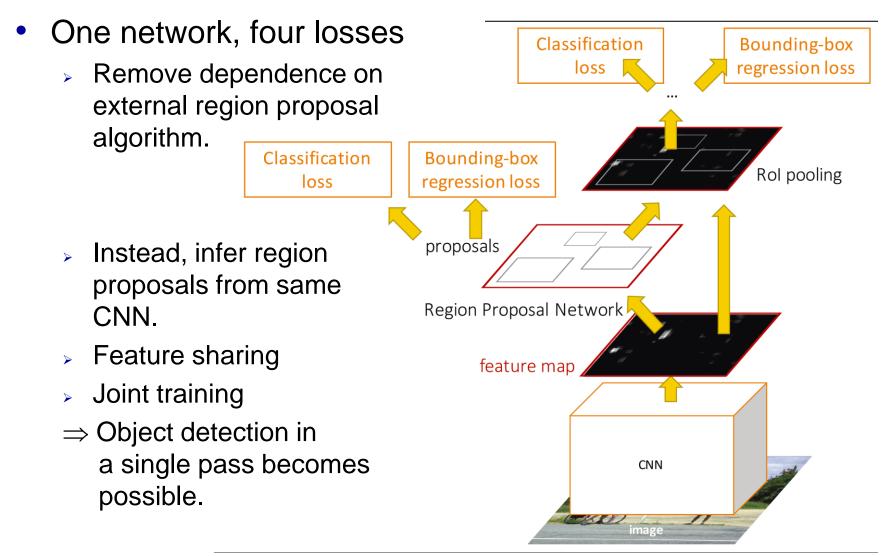
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### **Recap: R-CNN for Object Detection**



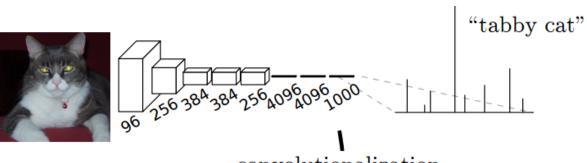
Machine Learning Winter '18

### **Recap: Faster R-CNN**



### **Recap: Fully Convolutional Networks**

• CNN



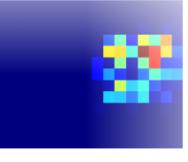
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• FCN



convolutionalization

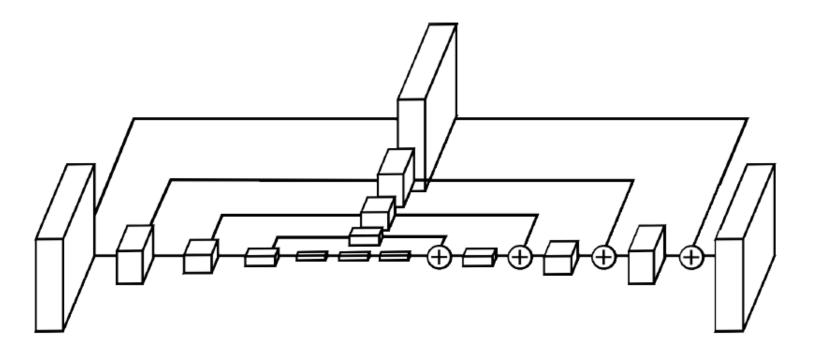
tabby cat heatmap



- Intuition
  - Think of FCNs as performing a sliding-window classification, producing a heatmap of output scores for each class

256

### **Recap: Semantic Image Segmentation**



- **Encoder-Decoder Architecture** 
  - Problem: FCN output has low resolution
  - Solution: perform upsampling to get back to desired resolution
  - > Use skip connections to preserve higher-resolution information



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  - > Applications of CNNs
- Word Embeddings
  - Neuroprobabilistic Language Models
  - word2vec
  - GloVe
  - > Hierarchical Softmax
  - **Outlook: Recurrent Neural Networks**

### **Neural Networks for Sequence Data**

- Up to now
  - > Simple structure: Input vector  $\rightarrow$  Processing  $\rightarrow$  Output
- In the following, we will look at sequence data
  - Interesting new challenges
  - Varying input/output length, need to memorize state, long-term dependencies, ...

### Currently a hot topic

- Early successes of NNs for text / language processing.
- Very good results for part-of-speech tagging, automatic translation, sentiment analysis, etc.
- Recently very interesting developments for video understanding, image+text modeling (e.g., creating image descriptions), and even single-image understanding (attention processes).



### Motivating Example

- Predicting the next word in a sequence
  - Important problem for speech recognition, text autocorrection, etc.
- Possible solution: The trigram (n-gram) method
  - Take huge amount of text and count the frequencies of all triplets (ntuples) of words.
  - Use those frequencies to predict the relative probabilities of words given the two previous words

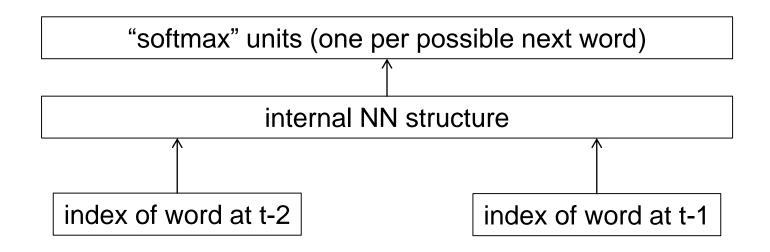
$$\frac{p(w_3 = c | w_2 = b, w_1 = a)}{p(w_3 = d | w_2 = b, w_1 = a)} = \frac{\text{count}(abc)}{\text{count}(abd)}$$

State-of-the-art until not long ago...

### **Problems with N-grams**

- Problem: Scalability
  - > We cannot easily scale this to large N.
  - The number of possible combinations increases exponentially
  - So does the required amount of data
- Problem: Partial Observability
  - > With larger N, many counts would be zero.
  - > The probability is not zero, just because the count is zero!
  - $\Rightarrow$  Need to back off to (N-1)-grams when the count for N-grams is too small.
  - $\Rightarrow$  Necessary to use elaborate techniques, such as Kneser-Ney smoothing, to compensate for uneven sampling frequencies.

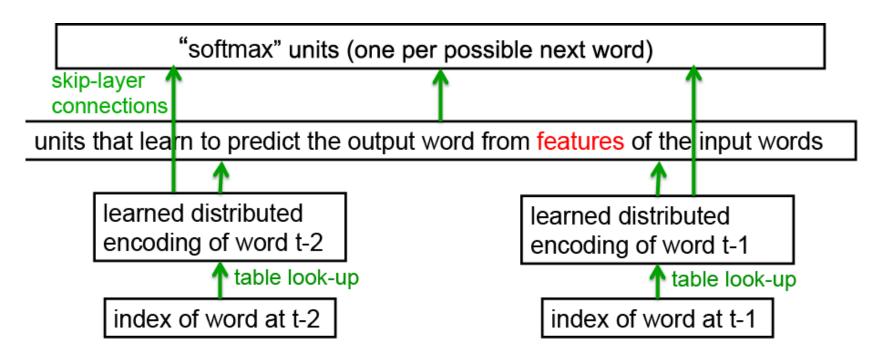
### Let's Try Neural Networks for this Task



#### Important issues

- How should we encode the words to use them as input?
- What internal NN structure do we need?
- How can we perform classification (softmax) with so many possible outputs?

### Neural Probabilistic Language Model



- Core idea
  - Learn a shared distributed encoding (word embedding) for the words in the vocabulary.

Y. Bengio, R. Ducharme, P. Vincent, C. Jauvin, <u>A Neural Probabilistic Language</u> <u>Model</u>, In JMLR, Vol. 3, pp. 1137-1155, 2003.

Slide adapted from Geoff Hinton

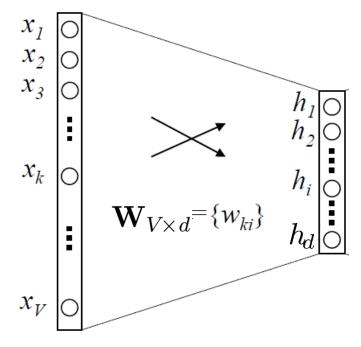
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### Word Embedding

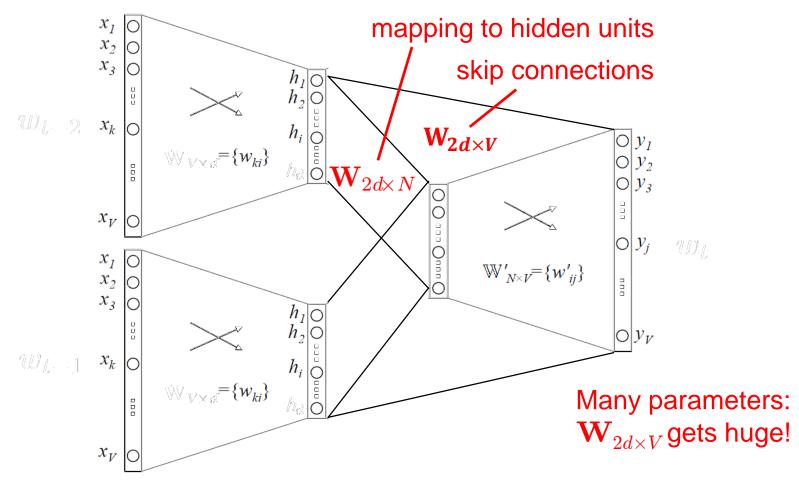
Idea

- Encode each word as a vector in a d-dimensional feature space.
- > Typically,  $V \sim 1 \mathrm{M}$ ,  $d \in (50, 300)$
- Learning goal
  - > Determine weight matrix  $\mathbf{W}_{V \times d}$  that performs the embedding.
  - Shared between all input words
  - Input
    - > Vocabulary index  $\mathbf{x}$  in 1-of-K encoding.
    - > For each input  $\mathbf{x}$ , only one row of  $\mathbf{W}_{V \times d}$  is needed.
    - $\Rightarrow$   $\mathbf{W}_{V \times d}$  is effectively a look-up table.





### Word Embedding: Full Network



- Train on large corpus of data, learn  $\mathbf{W}_{V\! imes d}$ .
  - $\Rightarrow$  Shown to outperform n-grams by [Bengio et al., 2003].

## Visualization of the Resulting Embedding

winner

player nfl oo**khadd**at ing team hasehall club sport wrestling olympic league sports champion statelum tournamentamings finals championships olympics matches eup bow<sup>ip</sup> races <sup>games</sup> clubs medal teams prize players fans awarvi<

(part of a 2.5D map of the most common 2500 words)

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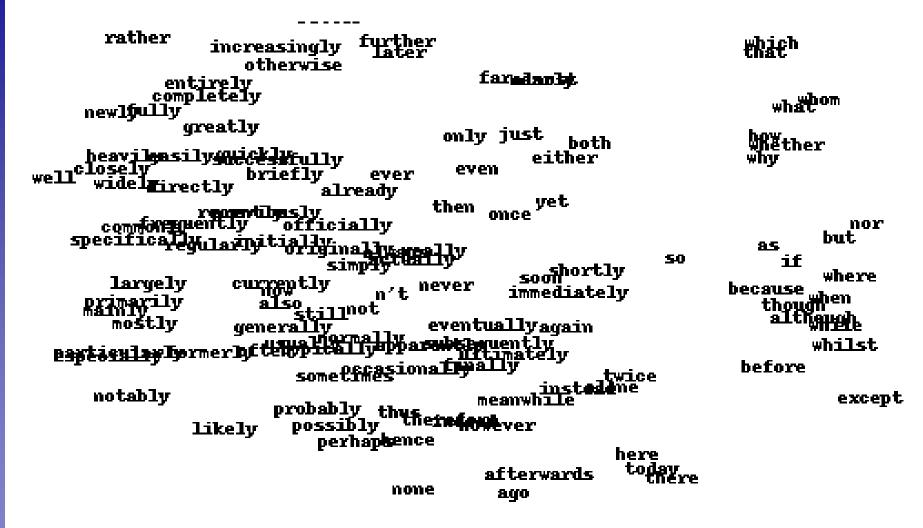
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#### **RWTHAACHEN** UNIVERSITY Visualization of the Resulting Embedding

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21 Image source: Geoff Hinton

### Visualization of the Resulting Embedding



22 Image source: Geoff Hinton

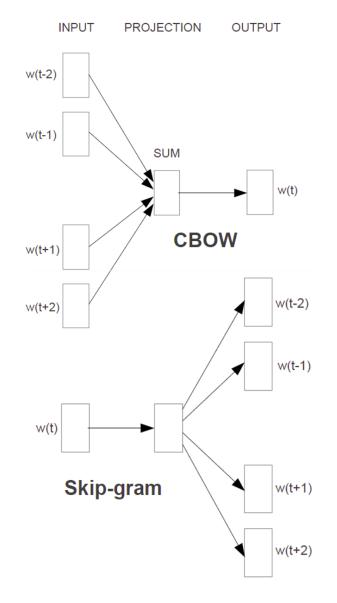


### **Popular Word Embeddings**

- Open issue
  - What is the best setup for learning such an embedding from large amounts of data (billions of words)?
- Several recent improvements
  - word2vec
  - GloVe

[Mikolov 2013] [Pennington 2014]

 $\Rightarrow$  Pretrained embeddings available for everyone to download.

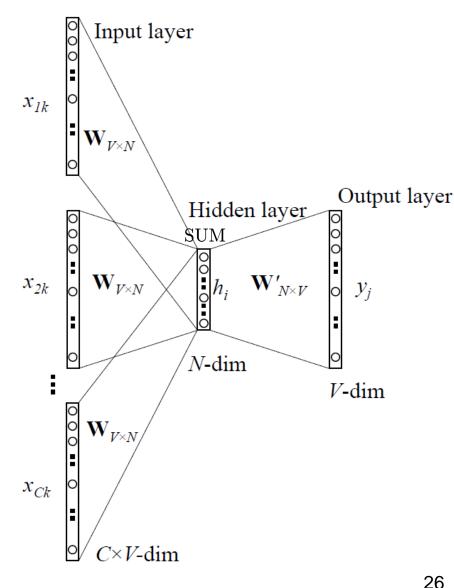


# word2vec

- Goal
  - Make it possible to learn high-quality word embeddings from huge data sets (billions of words in training set).
- Approach
  - Define two alternative learning tasks for learning the embedding:
    - "Continuous Bag of Words" (CBOW)
    - "Skip-gram"
  - > Designed to require fewer parameters.

### word2vec: CBOW Model

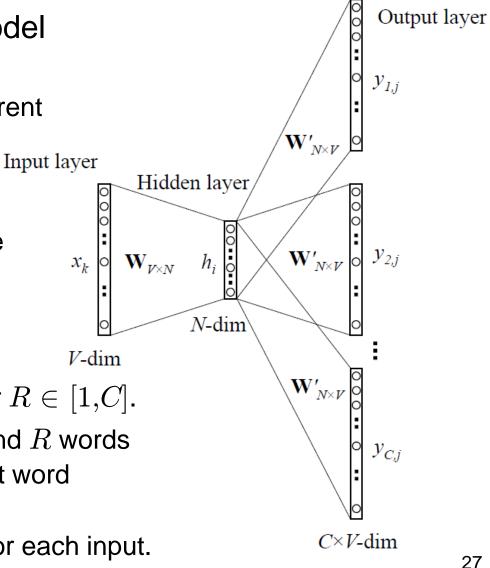
- Continuous BOW Model
  - Remove the non-linearity from the hidden layer
  - Share the projection layer for all words (their vectors are averaged)
  - ⇒ Bag-of-Words model (order of the words does not matter anymore)





### word2vec: Skip-Gram Model

- Continuous Skip-Gram Model
  - Similar structure to CBOW
  - Instead of predicting the current word, predict words within a certain range of the current word.
  - Give less weight to the more distant words
  - Implementation
    - $\succ$  Randomly choose a number  $R \in [1,C].$
    - Use R words from history and R words from the future of the current word as correct labels.
    - $\Rightarrow$  R+R word classifications for each input.



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### Interesting property

- Embedding often preserves linear regularities between words
  - Analogy questions can be answered through simple algebraic operations with the vector representation of words.
- Example
  - What is the word that is similar to *small* in the same sense as *bigger* is to *big*?
  - For this, we can simply compute X = vec("bigger") - vec("big") + vec("small")
  - Then search the vector space for the word closes to X using the cosine distance.
  - $\Rightarrow$  Result (when words are well trained): vec("smaller").
- Other example
  - > E.g., vec("King") vec("Man") + vec("Woman")  $\approx$  vec("Queen")



### **Evaluation on Analogy Questions**

1	<b>—</b> • • • • • •						
	Type of relationship	Word Pair 1		Word Pair 2			
	Common capital city	Athens	Greece	Oslo	Norway		
	All capital cities	Astana	Kazakhstan	Harare	Zimbabwe		
	Currency	Angola	kwanza	Iran	rial		
	City-in-state	Chicago	Illinois	Stockton	California		
	Man-Woman	brother	sister	grandson	granddaughter		
ayııracııc	Adjective to adverb	apparent	apparently	rapid	rapidly		
	Opposite	possibly	impossibly	ethical	unethical		
	Comparative	great	greater	tough	tougher		
	Superlative	easy	easiest	lucky	luckiest		
	Present Participle	think	thinking	read	reading		
	Nationality adjective	Switzerland	Swiss	Cambodia	Cambodian		
	Past tense	walking	walked	swimming	swam		
	Plural nouns	mouse	mice	dollar	dollars		
	Plural verbs	work	works	speak	speaks		

semantic

syntactic



### Results

Model	Vector	Training	Accuracy [%]		Training time	
	Dimensionality	words			[days x CPU cores]	
			Semantic	Syntactic	Total	
NNLM	100	6B	34.2	64.5	50.8	14 x 180
CBOW	1000	6B	57.3	68.9	63.7	2 x 140
Skip-gram	1000	6B	66.1	65.1	65.6	2.5 x 125

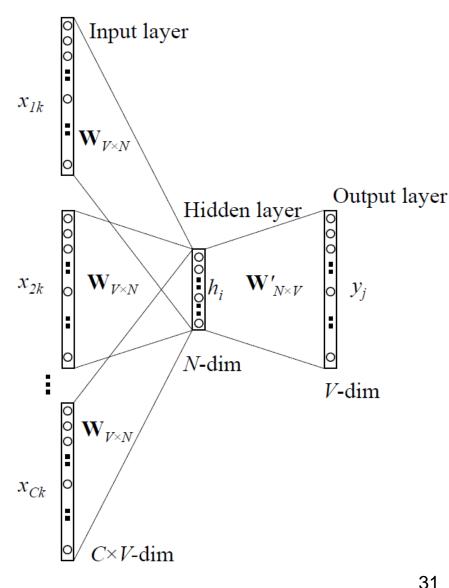
### Results

- word2vec embedding is able to correctly answer many of those analogy questions.
- CBOW structure better for syntactic tasks
- Skip-gram structure better for semantic tasks



### Problems with 100k-1M outputs

- Weight matrix gets huge!
- Example: CBOW model
  - > One-hot encoding for inputs
  - $\Rightarrow$  Input-hidden connections are just vector lookups.
  - This is not the case for the hidden-output connections!
  - State h is not one-hot, and vocabulary size is 1M.
  - $\Rightarrow$  **W**'<sub> $N \times V$ </sub> has 300×1M entries
  - $\Rightarrow$  All of those need to be updated by backprop.





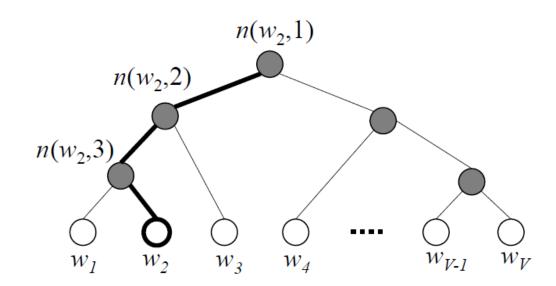
### Problems with 100k-1M outputs

Input layer Softmax gets expensive! Need to compute normaliza- $\triangleright$  $x_{lk}$ tion over 100k-1M outputs Н  $\mathbf{W}_{V \times N}$ Output layer Hidden layer  $\overset{\circ}{}_{0}h_{i}$  $\mathbf{W}_{V\!\times\!N}$  $\mathbf{W}'_{N \times V}$  $x_{2k}$ 0  $y_j$ *N*-dim O V-dim 000  $\mathbf{W}_{V\!\times\!N}$  $x_{Ck}$  $C \times V$ -dim 0

32 Image source: Xin Rong, 2015



### **Solution: Hierarchical Softmax**



#### Idea

- > Organize words in binary search tree, words are at leaves
- > Factorize probability of word  $w_0$  as a product of node probabilities along the path.
- > Learn a linear decision function  $y = v_{n(w,j)} \cdot h$  at each node to decide whether to proceed with left or right child node.
- $\Rightarrow$  Decision based on output vector of hidden units directly.

### **Topics of This Lecture**

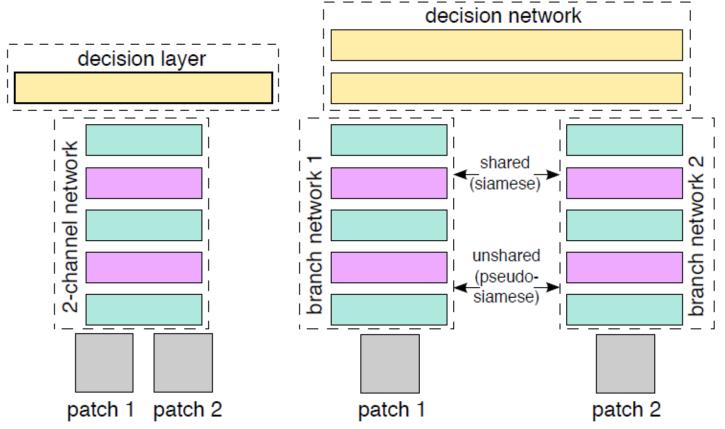
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#### Embeddings in Vision

- Siamese networks
- Triplet loss networks

### Outlook: Recurrent Neural Networks

### Siamese Networks

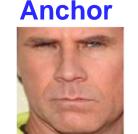


- Similar idea to word embeddings
  - Learn an embedding network that preserves (semantic) similarity between inputs
  - E.g., used for patch matching

#### RWTHAACHEN UNIVERSITY Recap: Discriminative Face Embeddings

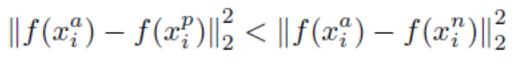
- Learning an embedding using a Triplet Loss Network
  - Present the network with triplets of examples

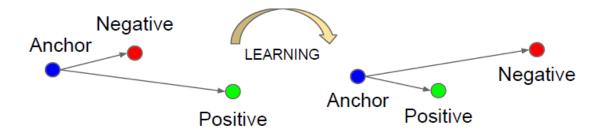






> Apply triplet loss to learn an embedding  $f(\cdot)$  that groups the positive example closer to the anchor than the negative one.





 $\Rightarrow$  Used with great success in Google's FaceNet face recognition

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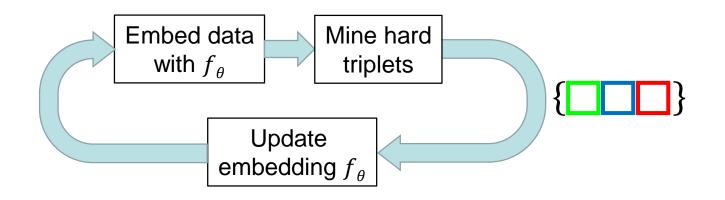
### **Triplet Loss – Practical Implementation**

Triplet loss formulation

$$\mathcal{L}_{\text{tri}}(\theta) = \sum_{\substack{a,p,n\\y_a = y_p \neq y_n}} \left[ m + D_{a,p} - D_{a,n} \right]_+$$

- Practical Issue: How to select the triplets?
  - > The number of possible triplets grows cubically with the dataset size.
  - Most triplets are uninformative
  - $\Rightarrow$  Mining hard triplets becomes crucial for learning.
  - $\Rightarrow$  Actually want *medium-hard* triplets for best training efficiency
  - Popular solution: Offline hard triplet mining
    - Process the dataset to find hard triplets
    - > Use those for learning
    - Iterate

#### **RWTHAACHEN** UNIVERSITY Triplet Loss – Practical Implementation (2)



Popular solution: Offline hard triplet mining

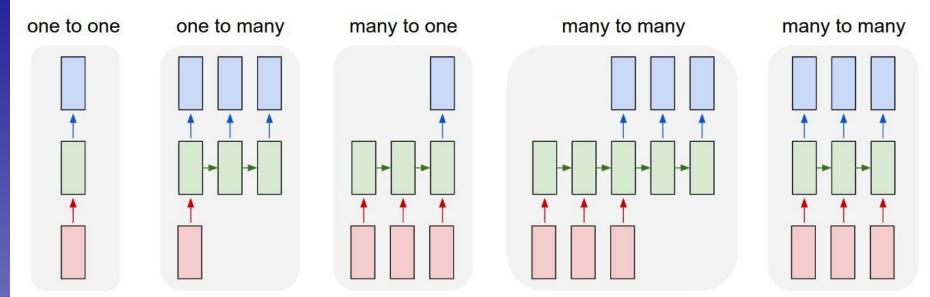
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  - > Hierarchical Softmax
  - Embeddings in Vision
    - Siamese networks
    - > Triplet loss networks

### • Outlook: Recurrent Neural Networks

### **Outlook: Recurrent Neural Networks**



- Up to now
  - Simple neural network structure: 1-to-1 mapping of inputs to outputs
- Next lecture: Recurrent Neural Networks
  - Generalize this to arbitrary mappings



### **References and Further Reading**

- Neural Probabilistic Language Model
  - Y. Bengio, R. Ducharme, P. Vincent, C. Jauvin, <u>A Neural Probabilistic</u> <u>Language Model</u>, In JMLR, Vol. 3, pp. 1137-1155, 2003.
- word2vec
  - T. Mikolov, K. Chen, G. Corrado, J. Dean, <u>Efficient Estimation of Word</u> <u>Representations in Vector Space</u>, ICLR'13 Workshop Proceedings, 2013.
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  - Jeffrey Pennington, Richard Socher, and Christopher D. Manning, <u>GloVe:</u> <u>Global Vectors for Word Representation</u>, 2014.
- Hierarchical Softmax
  - F. Morin and Y. Bengio, <u>Hierarchical probabilistic neural network language</u> <u>model</u>. In AISTATS 2005.
  - A. Mnih and G.E. Hinton (2009). <u>A scalable hierarchical distributed language</u> <u>model</u>. In NIPS 2009.



### **References: Other Embeddings**

- Face Embeddings
  - F. Schroff, D. Kalenichenko, J. Philbin, FaceNet: A Unified Embedding for Face Recognition and Clustering, in CVPR 2015.
  - A. Radford, L. Metz, S. Chintala, Unsupervise Representation Learning with Deep Convolutional Generative Adversarial Networks, ICLR 2016.